SEC Form 4

Instruction 1(b)

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See*

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL	

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Filed pursuant to Section 16(a)	of the Securities Exchange Act of 1934

or Section 30(h) of the Investment Company Act of 1940 2. Issuer Name and Ticker or Trading Symbol 1. Name and Address of Reporting Person* 5. Relationship of Reporting Person(s) to Issuer SUPERNUS PHARMACEUTICALS, INC. (Check all applicable) DEC TIMOTHY C Director 10% Owner [SUPN] Officer (give title Other (specify X below) below) 3. Date of Earliest Transaction (Month/Day/Year) (Last) (First) (Middle) Senior Vice-President & CFO 10/04/2023 C/O SUPERNUS PHARMACEUTICALS, INC., 4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable 9715 KEY WEST AVENUE Line) х Form filed by One Reporting Person (Street) Form filed by More than One Reporting ROCKVILLE 20850 MD Person Rule 10b5-1(c) Transaction Indication (City) (State) (Zip) Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10. Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 2. Transaction 2A. Deemed 5. Amount of 6. Ownership 7. Nature of 1. Title of Security (Instr. 3) Transaction Execution Date Securities Form: Direct Indirect Beneficial (Month/Day/Year) if any Code (Instr. 5) Beneficially (D) or Indirect (Month/Day/Year) 8) Owned Following Ownership (Instr. 4) (I) (Instr. 4) Reported (A) or (D) Transaction(s) (Instr. 3 and 4) Code v Amount Price Common Stock 3.000(1) D Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 6. Date Exercisable and 1. Title of 3. Transaction 3A. Deemed 5. Number 7. Title and 8. Price of 9. Number of 11. Nature Conversion Date Transaction Ownership Derivative Execution Date of Expiration Date Amount of Derivative derivative of Indirect Security or Exercise (Month/Day/Year) if any Code (Instr. Derivative (Month/Day/Year) Securities Security (Instr. 5) Securities Form Beneficial (Instr. 3) Price of (Month/Day/Year) 8) Securities Underlying Derivative Security Beneficially Direct (D) Ownership (Instr. 4) Acquired (A) or Disposed of (D) (Instr. 3, 4 Derivative Owned or Indirect Security (Instr. 3 and 4) Following (I) (Instr. 4) Reported Transaction(s) (Instr. 4) and 5) Amount Numbe Expiration Date of ν (D) Code (A) Exercisable Date Title Shares Performance Commor 10/04/2023 \$<mark>0</mark> Α 4.000 4.000 \$<mark>0</mark> 4,000 D Share Unit Stock

Explanation of Responses:

1. Includes an aggregate of 350 shares acquired by the Reporting Person through the Issuer's Employee Stock Purchase Plan.

2. On February 23, 2023, the Reporting Person was awarded Performance Share Units a portion of which vested upon the achievement of individual performance objectives within a defined performance period, which objectives were established on June 12, 2023.



Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

10/06/2023 Date